

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE


Applicant: Masanori Terajima, John Cruz and Francis A. Ennis

Application No.: 10/764,985

Filed: January 26, 2004

Confirmation No.: 6782

For: Identification of Gene Sequences and Proteins Involved in Vaccinia Virus  
Dominant T Cell Epitopes

<b>CERTIFICATE OF MAILING OR TRANSMISSION</b>	
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**TRANSMITTAL OF ISSUE FEE PAYMENT AND  
SMALL ENTITY STATEMENT**

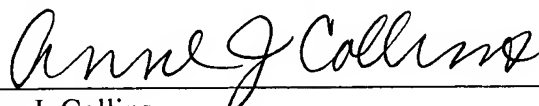
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Sir:

This application has claimed the benefit of small entity status, and a new determination has been made that small entity status still applies. Therefore, enclosed is a newly executed Small Entity Statement. Also enclosed is a check in payment of the total amount of the fees due.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By   
Anne J. Collins  
Registration No.: 40,564  
Telephone: (978) 341-0036  
Facsimile: (978) 341-0136

Concord, MA 01742-9133

Date: March 30, 2007

APR 02 2007

STATEMENT CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.27(a))-NONPROFIT ORGANIZATION

DOCKET NUMBER: 0746.2003-001

Applicant or Patentee: Masanori Terajima, John Cruz and Francis A. Ennis  
Application or Patent No.: 10/764,985  
Filed or Issued: January 26, 2004  
Title: Identification of Gene Sequences and Proteins Involved in Vaccinia Virus Dominant T Cell Epitopes

I hereby state that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF NONPROFIT ORGANIZATION University of Massachusetts Medical School  
ADDRESS OF NONPROFIT ORGANIZATION 365 Plantation Street, Suite 130, Worcester, Massachusetts 01605

TYPE OF NONPROFIT ORGANIZATION:

- ☒ UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION  
☐ TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 U.S.C.501(a) and 501(c)(3))  
☐ NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA  
(NAME OF STATE \_\_\_\_\_)  
(CITATION OF STATUTE \_\_\_\_\_)  
☐ WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 U.S.C. 501(a) and 501(c)(3)) IF LOCATED IN THE UNITED STATES OF AMERICA  
☐ WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA IF LOCATED IN THE UNITED STATES OF AMERICA  
(NAME OF STATE \_\_\_\_\_)  
(CITATION OF STATUTE \_\_\_\_\_)

I hereby state that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.27(a)(3) for purposes of paying reduced fees to the United States Patent and Trademark Office regarding the invention described in:

- ☐ the specification filed herewith with title as listed above.  
☒ the application identified above.  
☐ the patent identified above.

I hereby state that the rights under contract or law have been conveyed to and remain with the nonprofit organization regarding the above identified invention. If the rights held by the nonprofit organization are not exclusive, each individual, concern, or organization having rights in the invention must file separate statements as to their status as small entities. No rights to the invention are held by any person who would not qualify as a person under 37 CFR 1.27(a)(1) or by any concern which would not qualify as a small business concern under 37 CFR 1.27(a)(2) or a nonprofit organization under 37 CFR 1.27(a)(3).

Each additional person, concern, or organization having any rights in the invention is listed below:

- ☒ no such person, concern, or organization exists.  
☐ each such person, concern, or organization is listed below.

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.27(g)(2))

NAME OF PERSON SIGNING James P. McNamara, Ph.D.

TITLE IN ORGANIZATION OF PERSON SIGNING Executive Director, Office of Technology Management

ADDRESS OF PERSON SIGNING 333 South Street, Suite 400, Shrewsbury, MA 01545

SIGNATURE James P. McNamara DATE March 19, 2007  
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